

Electronic Acknowledgement Receipt

EFS ID:	5496007
Application Number:	10711889
International Application Number:	
Confirmation Number:	5888
Title of Invention:	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES
First Named Inventor/Applicant Name:	Ross L. Stevens
Customer Number:	69603
Filer:	Steven Phillips/Faye Wigfall
Filer Authorized By:	Steven Phillips
Attorney Docket Number:	014033-000037
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$ 2540
RAM confirmation Number	18108
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Extension of Time	037_EOT_061109.pdf	432505	no	2
			db5830d70a016d8c9b06f99f483131dde0904164		
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	037_RCE_061109.pdf	697141	no	3
			9c28a9d28cfcfba306ad4136492c470e804988f0		
Warnings:					
Information:					
3		037_AmdwRCE_61109.pdf	510688	yes	12
			5cd08dc48d7e647a27b85ff3787f5303a86b2717		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Drawings-only black and white line drawings		2	9	
	Applicant Arguments/Remarks Made in an Amendment		10	12	
Warnings:					
Information:					
4	Fee Worksheet (PTO-875)	fee-info.pdf	32541	no	2
			9bee07972dd13f3b3c7b8797f0054b56a56f3521		
Warnings:					
Information:					
Total Files Size (in bytes):			1672875		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.